

Draft PMB definition for early stage colon and rectal cancer

Disclaimer:

The early stage colon and rectal cancer benefit definition has been developed for the majority of standard patients. These benefits may not be sufficient for outlier patients. Therefore Regulation 15(h) and 15(l) may be applied for patients who are inadequately managed by the stated benefits. The benefit definition does not describe specific in-hospital management such as theatre, anaesthetists, anaesthetist drugs and nursing care. However, these interventions form part of care and are prescribed minimum benefits.

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Abbreviations

BCC Basal Cell Carcinoma

CA 19-9 Cancer Antigen 19-9

CMS Council for Medical Schemes
CEA Carcinoembryonic antigen

CRC Colorectal cancer

CT Computed tomographic

DALY Disability-Adjusted Life Year
DTPs Diagnosis treatment pairs

FBC Full Blood Count

FDA Food and Drug Administration

FOBT Fecal occult blood tests

FIT Fecal immunochemical test

FIT-DNA DNA Fecal immunochemical test

GI Gastrointestinal Exam

ICD International Classification of Diseases
IMRT Intensity-modulated radiation therapy

IV Intravenous therapy

MRI Magnetic resonance imaging
PET Positron emission tomography
PMB Prescribed minimum benefit

RT Radiation Therapy

SCC Squamous Cell Carcinoma
SDI Service Level Indicators

5FU Fluorouracil

95% UI "uncertainty interval" replaces the confidence intervals in interpretations

USA United States of America
WHO World Health Organization

1. Introduction

- 1.1. The legislation governing the provision of the prescribed minimum benefits (PMBs) is contained in the Regulations enacted under the Medical Schemes Act, 131 of 1998 (the Act). In respect of some of the diagnosis treatment pairs (DTPs), medical scheme beneficiaries sometimes find it difficult to know their entitlements in advance. Medical schemes also interpret these benefits differently, resulting in a lack of uniformity of benefit entitlements.
- 1.2. The benefit definition project is coordinated by the Council for Medical Schemes (CMS), and aims to define the PMB package as well as to guide the interpretation of the PMB provisions by relevant stakeholders.

2. Scope and purpose

- 2.1. This document serves as a recommendation for the diagnosis, treatment and care of individuals with early stage colon and rectal cancer, in any clinically appropriate setting as outlined in the Act.
- 2.2 The purpose is to improve clarity in respect of funding decisions by medical schemes, taking into consideration evidence based medicine, affordability, and in some instances cost-effectiveness.

Table 1: Possible ICD10 codes for identifying early stage colon and rectal cancer

ICD 10 code	WHO description
C17.8	Malignant neoplasm, overlapping lesion of small intestine
C17.9	Malignant neoplasm, small intestine, unspecified
C18.0	Malignant neoplasm of cecum
C18.1	Malignant neoplasm of appendix
C18.2	Malignant neoplasm of ascending colon
C18.3	Malignant neoplasm of hepatic flexure
C18.4	Malignant neoplasm of transverse colon
C18.5	Malignant neoplasm of splenic flexure
C18.6	Malignant neoplasm of descending colon
C18.7	Malignant neoplasm of sigmoid colon
C18.8	Malignant neoplasm of overlapping sites of colon

C18.9	Malignant neoplasm of colon, unspecified
C19	Malignant neoplasm of rectosigmoid junction
C20	Malignant neoplasm of rectum
C21.8	Malignant neoplasm of overlapping sites of rectum, anus and anal canal
C26.0	Malignant neoplasm, intestinal tract, part unspecified
C26.8	Malignant neoplasm, overlapping lesion of digestive system
C26.9	Malignant neoplasm, ill-defined sites within the digestive system
C76.2	Malignant neoplasm, abdomen
D01.0	Carcinoma in situ colon
D01.1	Carcinoma in situ rectosigmoid junction
D01.2	Carcinoma in situ rectum
D01.4	Carcinoma in situ other and unspecified parts of intestine

3. Epidemiology

- 3.1. Cancer of the colon and the rectum is collectively known as colorectal cancer (CRC). In some instances the cancer is referred to as bowel cancer. (1) Colorectal cancer is a major cause of morbidity and mortality throughout the world (1-4). It is the third most common cancer worldwide and the fourth most common cause of death. (1-6)
- 3.2. Worldwide, colorectal cancer represents 9.4% of all cancer incidence in men and 10.1% in women. (3) Colorectal cancer is more prevalent in developed countries with a Western culture. (3, 13) Globally, and for countries with high Service Level Indicators (SDI), colon and rectum cancer are ranked third for cancer and second for cancer deaths in 2015. In South Africa, colon cancer is ranked as the fifth most frequent. (2)
- 3.3. In 2015, there were 1.7 million (95% UI, 1.6-1.7 million) incident cases of colon and rectum cancer, and it caused 832 000 (95% UI, 812 000-855 000) deaths. Colon and rectum cancer caused 17 million (95% UI, 16.6-17.5 million) DALYs in 2015. The odds of developing colon and rectum cancer before the age of 79 years at the global level was higher for men than for women (1 in 28 men, 1 in 43 women). This pattern followed the developed versus developing countries, patterns.(2)

- 3.4. In South Africa the epidemiology of CRC in white South Africans appears to follow the classic Western trend, although the molecular pathology has not been comprehensively investigated. CRC among black South Africans is far less common, but there is evidence that numbers have been increasing in some centres and medical education on early detection of colorectal cancer is essential. (13-15)
- 3.5. Furthermore, disproportionately large numbers of young black patients seem to be presenting with CRC, a trend which appears to be common among countries throughout the African continent.
- 3.6. In a study by Cronje *et. al* in South Africa, of young patients (<50 years) about 41% were black and 10% were white, blacks had predominantly proximal tumours and significantly more poorly differentiated and/or mucinous tumours, and loss of mismatch repair protein expression was more evident than in whites. (13) It seemed likely that CRC in young blacks develops through the accumulation of mutations, most probably via mismatch repair deficiency or promoter methylation, which in turn is linked to poor differentiation and a mucinous architecture.

4. Investigation, and diagnosis and staging

4.1 Diagnosis and workup

- 4.1.1. Consultations and clinical examinations are covered as a PMB level of care.
- 4.1.2. Full blood count (FBC), is part of the laboratory investigations. An FBC measures the number of red cells, white cells and platelets, which are important for measuring the blood status of the patient. FBC is PMB level of care and considered an important tool, but should not be relied on, alone, to diagnose cancer. (16)
- 4.1.3. The carcinoembryonic antigen (CEA) test, is recommended as a baseline measure and should be covered as PMB level of care. The test measures the amount of protein that may appear in the blood of some people who have certain types of cancers, especially cancer of the large intestine (colon and rectal cancer). CEA examination is administered as a baseline measure. It is not diagnostic but considered a tumour marker. CEA levels do have value in the follow-up of patients with diagnosed CRC, and therefore aid in surgical treatment planning, post treatment follow-up and in the assessment of prognosis.
- 4.1.4. A single positive CEA does not equate to a diagnosis of colorectal cancer and therefore does not qualify for advanced staging investigations. Further diagnostic studies are warranted.
- 4.1.5. CA 19-9 is not indicated for staging of both colon and rectal cancer. (19)
- 4.1.6. Barium enema X-ray exam of the large intestine (colon and rectum) is PMB level of care. This radiological imaging procedure should only be used if the patient does not have access to colonoscopy. (16-19)

- 4.1.7. Standard (video) colonoscopy is PMB level of care. The rectum and entire colon are examined using a colonoscope. (7) Any abnormal growths in the colon and the rectum can be removed, including growths in the upper parts of the colon that are not reached by sigmoidoscopy. (22)
- 4.1.8. Computed tomographic (CT) colonoscopy is PMB level of care only if standard colonoscopy has been unsuccessful.
- 4.1.9. Confirmation of tumour origin includes both histological assessment as well as cytological assay. The confirmation of diagnosis is only given by laboratory analysis of the tumour and tissues affected (histopathology). (16,17)

Table 2: PMB level of care for diagnosis and workup of early stage colon and rectal cancer

Description	
Clinical assessment	Consultations
Laboratory investigations	Full Blood Count (FBC)
	CEA
Imaging :Procedures	Barium enema
	Colonoscopy
	CT Colonoscopy – ON APPEAL
Histology assessment	Histology / cytology

4.2. Staging and risk assessment for colon and rectum cancer

- 4.2.1. Consultation and clinical examination for staging and risk assessment for both colon and rectal cancer are covered as a PMB level of care.
- 4.2.2. The liver function test, is routinely done for both colon and rectal cancer. Although frequently obtained preoperatively, liver enzymes may be normal in the setting of small hepatic metastases and are not a reliable marker for exclusion of liver involvement. (16,17)
- 4.2.3. Renal function tests for colon and rectal cancer are a routine blood tests to assess organ function. (16,17)
- 4.2.4. The clinical benefit of routine clinical staging with chest CT is controversial in colon cancer.(19) However, if there is any suspicion of lung involvement, CT chest is considered PMB level of care.

- 4.2.5. CT of the abdomen and pelvis is PMB level of care. In patients with newly diagnosed CRC, preoperative abdominal and pelvic CT scans can demonstrate regional tumor extension, regional lymphatic and distant metastases, and tumor related complications (for example obstruction, perforation and fistula formation). (19)
- 4.2.6. MRI pelvis without IV contrast is recommended for rectal cancer because it has precise evaluation of the topographic relationship of a tumor to the mesorectal fascia (an important anatomic landmark for the feasibility of total mesorectal excision). MRI is currently the only imaging modality that is highly accurate in predicting whether or not it is likely that a tumor free margin can be achieved and thus provides important information for planning of an effective therapeutic strategy, especially in patients with advanced rectal cancer. (21,22)
- 4.2.7. Endo-rectal ultrasound (EUS) is recommended for rectal cancer only. EUS depicts the anatomic layers of the rectal wall with a high degree of accuracy and thus enables precise determination of the tumor extent in relation to the different wall layers. Reported accuracy rates of trans-rectal ultrasound in assessing the T stage are in the range of 69–97%. EUS is most suitable for evaluating early rectal cancer while it is limited in assessing more advanced tumors. (23)

Procedures that are not PMB level of care for the staging and risk assessment of colon and rectal cancer include:

- 4.2.8. Rectal distension significantly reduces the distance between the rectal wall and the mesorectal fascia contrast might impact on the ability of MRI to predict accurately the distance between the tumor and the potential resection margin. (23,24)
- 4.2.9. Bone scans for colorectal cancer are not indicated for CRC typically metastasizes to bone in less than 10% of patients and isolated skeletal metastases are found in almost 1% of cases, suggesting a unique clinical and biological behavior in terms of metastatic pathways. (25)
- 4.2.10. Positron emission tomography (PET) scans do not appear to add significant information to CT scans. A PET scan is better suited for advanced colorectal cancer (23). The benefit of a PET scan is to detect extrahepatic metastases in patients considered to be candidates for liver resection, and in this situation, it is appropriate to obtain a PET prior to initiation of chemotherapy. (16,27)

Table 3: PMB level of care for the staging and risk assessment of early stage colon and rectal cancer

Description		Rectum cancer
Consultation	V	1
CEA	V	V
CA19-9	Х	Х
Liver function test	V	V
Renal function	V	V
CT chest, abdomen and pelvis	V	√
MRI pelvis without IV contrast	X	√
Endo-rectal ultrasound	Х	V
	CEA CA19-9 Liver function test Renal function CT chest, abdomen and pelvis MRI pelvis without IV contrast	CEA CA19-9 Liver function test ✓ Renal function ✓ CT chest, abdomen and pelvis MRI pelvis without IV contrast X

Exclusions

- MRI rectum with contrast
- Bone scans for colorectal cancer
- PET scan

5. Treatment options for early stage colon and rectal cancer

The severity of the disease determines the treatment options are used to treat early stage colon and rectal cancer. These include:

- Surgical management
- Chemotherapy and Chemo-radiation
- Radiation therapy

5.1. Surgical management

The type of surgery used depends on the stage (extent) of the cancer, its location, and the goal of the surgery (16, 17, 19, 28). Treatment is also divided into colon and rectal cancer as the approaches are slightly different.

- 5.1.1. The following surgical interventions are PMB level of care for colon cancer
 - **5.1.1.1.** Local excision Stage 0 and some early stage I tumors, or polyps can be removed during a colonoscopy.
 - 5.1.1.2. Polypectomy
 - 5.1.1.3. Colectomy In this surgery, all (total colectomy) or part (hemicolectomy, partial colectomy, or segmental resection) of the colon together with surrounding lymph nodes are resected. The colectomy can be done as an open colectomy, through a laparotomy, or via a laparoscopy.
 - 5.1.1.4. Stenting is indicated for obstructing tumours. A self-expanding metal stent (SEMS) is deployed across the tumour to relieve the obstruction allowing time to optimise and adequately stage the patient prior to surgery.
 - 5.1.1.5. A stoma may comprise of colon (colostomy) or ileum (ileostomy). A Stoma is when the bowel is brought out as an opening onto the abdominal wall. It is indicated in cases of bowel obstruction (with or without resection), perforation or where an anastomosis is not deemed feasible.
- 5.1.2. The following surgical interventions are PMB level of care for rectal cancer (16,17,19,28-31)
 - 5.1.2.1. Local excision this is done to remove superficial cancers or polyps, often during a colonoscopy.
 - 5.1.2.2. Polypectomy, the cancer is removed as part of the polyp, which is excised at colonoscopy.
 - 5.1.2.3. Trans-anal excision The procedure can be used to remove some early stage I rectal cancers that are relatively small, and not too far from the anus.
 - 5.1.2.4. Anterior resection entails the excision of cancers in the upper part of the rectum together with its surrounding mesentery and lymph nodes. The remaining colon is then anastomosed to the remaining part of the rectum or brought out as a stoma. The stoma may be temporary or permanent.

- 5.1.2.5. Low anterior resection with colo-anal anastomosis this entails the excision of rectal cancers involving the middle and lower third of the rectum. The entire rectum is excised by total mesorectal excision (TME), which is needed to remove the lymph nodes. The colon is then connected to the anus (colo-anal anastomosis). It may be necessary to do a temporary ileostomy (where the end of the ileum is brought out onto the abdominal wall) while the anastomosis heals.
- 5.1.2.6. Abdominoperineal resection (APR) is reserved for cancers in the lower part of the rectum which are involving the sphincter muscle. It entails an abdominal component to excise the rectum and a perineal component to excise the anus. A permanent colostomy is brought out onto the abdominal wall.
- 5.1.2.7. In the event of an extra-levator abdomino-perineal resection, which is aimed at achieving a wider tumour clearance, a biological mesh / prosthesis will be required to repair the resultant operative defect to prevent perineal herniation.
- 5.1.2.8. Diverting colostomy this is indicated where the patient presents with obstructive symptoms. It entails bringing out the proximal obstructed colon onto the abdominal wall. This often helps the patient recover enough to start other treatments (such as chemotherapy) prior to definitive surgery. In some instances, the stoma may be permanent.

Table 4: Surgical interventions for PMB level of care for rectum and colon cancer

Rectum cancer	Colon cancer
Local excision	Local excision
Polypectomy	Polypectomy
Trans-anal excision	Colectomy
Anterior resection	Stenting
Low anterior resection with colo-anal anastomosis	Stoma

Abdominoperineal resection	
Diverting colostomy	
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5.2. Chemotherapy and chemoradiation

Treatment for early stage colorectal cancer includes:

- Adjuvant chemotherapy for colon cancer
- Neo-adjuvant chemoradiation for rectal cancer
- Adjuvant chemotherapy for rectal cancer

5.2.1. Adjuvant chemotherapy for colon cancer

Chemotherapy agents listed below are PMB level of care. These are all listed on the essential medicine list.

Table 5: PMB level of care for adjuvant chemotherapy for colon cancer

Indication	Description	Regimen details
		Oxaliplatin – only in high risk not for stage 2
		Leucovorin
Colon adjuvant		Fluorouracil
	Chemotherapy	Capecitabine

5.2.2. Neo-adjuvant chemoradiation for rectal cancer (16,17,19,23)

- 5.2.2.1. Fluorouracil + Leucovorin with Radiation then surgery.
- 5.2.2.2. Capecitabine with radiation then surgery.

Table 6: Chemotherapy and chemoradiation options in early stage rectal cancer

Indication	Description	Regimen details
Rectum: neo-adjuvant	Chemo radiation	Fluorouracil + Leucovorin with Radiation then
		surgery

	Capecitabine with Radiation then surgery

- 5.2.3. Adjuvant chemotherapy for rectal cancer (34-35)
 - 5.2.3.1. Leucovorin, Capecitabine and 5FU are PMB level of care.

Table 7: Adjuvant chemotherapy options in early stage rectal cancer

Indication	Description	Regimen details
Rectum : adjuvant	Chemotherapy	Leucovorin
		Fluorouracil
		Capecitabine

- 5.3. Radiation therapy for colorectal cancer
 - 5.3.1. Radiation therapy for colon cancer is not recommended due to the high morbidity. Special motivation would be required prior to any authorisation.
 - 5.3.2. For rectal cancer, the following techniques are PMB level of care.

Table 8: PMB level of care for radiation therapy in early stage rectum cancer

Conventional Radiation therapy – chemoradiation

- Radical radiotherapy 25#: conventional single volume / 3D single volume
- Radical radiotherapy 25# conventional single volume with reduced TV boost + Short Course RT
- Radical radiotherapy 25# 3D single volume with reduced TV boost + Short Course 3D RT
- Short course RT 5# for frail patients
 - 5.3.3. Intensity-modulated radiation therapy (IMRT) is not PMB level of care

6. Follow up care

Table 9 below shows recommended interventions and the corresponding frequencies up to 10 years post diagnosis. (36, 37)

Table 9: Frequency of interventions considered to be PMB level of care in early stage colon and rectal cancer during therapy and up to 10 years post diagnosis

		Frequency during therapy	Up to 2 years post diagnosis Frequency per year	3-10 years post diagnosis	Recurrent work up – only if there is suspicion of disease recurrence
Clinical assessment	Consultation	Depends on treatment interventio	ns and supportive care requi	red	
Pathology	Full blood count (FBC)	6	2	1	V
	CEA	2	2	1	√
	Liver function test	2	0	0	√
	Renal function	2	0	0	√
Imaging	CT study of chest and abdomen and pelvis	1	1	1	V
	MRI pelvis without IV contrast	0	0	0	√
Procedures	Colonoscopy	0	At 6 months, at 1 year, a previous colorectal cancer	at 3 years and then every	3 years in patients with

Histological	Histology	0	0	0	$\sqrt{}$
assessment					

This guideline will be due for update on 31 December 2018

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