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Ref: Regulation 30 & Annexure B categorization  
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## Circular 2 of 2010: Categorisation of assets in terms of Annexure B to the Regulations

During the past few years, the Office of the Registrar (Office) has received an increasing number of queries on the classification of assets in terms of Regulation 30 of the Medical Schemes Act 131 of 1998 (Act) read in conjunction with Annexure B to the Regulations. This circular seeks to provide further clarity and guidance on the said classification.

The Office has published the following guidelines in this respect:

Nr	Guideline name	Description of guideline
53	List of registered banks as at 31 December 2009	The Guideline provides a listing of all registered banks; mutual banks; local branches of foreign banks; and foreign banks with approved local representative offices as per the 2008 Annual Report of the South African Reserve Banks' Banks Supervision Unit. The Guideline further sub-categorises these banks in terms of Category I of Annexure B to the Regulations.
54	Listed bonds on BESA as at 31 December 2009	This Guideline provides a detailed listing of all listed bonds on the Bond Exchange of South Africa (BESA) as at 31 December 2009. The Guideline further categorises these bonds in the different sub-categories of category 2 of Annexure B to the Regulations.*
55	Foreign inward listings on BESA as at 31 December 2009	This Guideline provides a detailed listing of all foreign inward listed bonds on the BESA as at 31 December 2009.*
56	Listed shares in the Development Capital and Venture Capital sectors of the JSE	The Guideline contains details on all the listed securities in the Development Capital and Venture Capital sectors of the Johannesburg Stock Exchange (JSE) as at 31 December 2009.
57	Market capitalisation and categorisation of listed companies as at	The Guideline provides information on the market capitalisation of listed entities on the JSE. It further categorises these securities according to the requirements of

A statutory body established in terms of the  
Medical Schemes Act, 1998 (Act 131 of 1998)

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Nr	Guideline name	Description of guideline
	31 December 2009	Annexure B to the Regulations in the different sub-categories of categories 3 (property), 4 (shares), 5 (debentures) and 7 (other assets).**
58	Foreign listed securities as at 31 December 2009 as per the JSE	This Guideline provides a detailed list of the alpha codes and long names of all foreign listed securities as at 31 December 2009. This listing was obtained from the JSE.** This Guideline should be read together with the latest update of inward listed securities as on 16 November 2009 issued by the JSE. The update can be found on the JSE's website: <a href="http://www.jse.co.za/About-Us/Clearing-And-Settlement/Inward-listed-securities.aspx">http://www.jse.co.za/About-Us/Clearing-And-Settlement/Inward-listed-securities.aspx</a>
59	List of registered insurers as at 31 December 2009	The Guideline provides a listing of all registered insurers as at 31 December 2009 as per the Financial Services Board's (FSB) website. Schemes should refer to this guideline when investing in and accounting for policies of insurance (category 6 to Annexure B).
60	List of registered financial service providers as at 31 December 2009	This Guideline provides a comprehensive list of registered financial service providers (FSPs) as at 31 December 2009 per the FSB's website. As only Financial Advisory and Intermediary Services (FAIS)-registered entities are allowed to manage investments on behalf of a medical scheme, schemes should refer to the FSB's website (and this guideline) to ensure the registration of their asset managers. Investments managed by these registered entities should be accounted for in such entities' registered names in Part 9(b) to the Annual Statutory Return.

\*Schemes and auditors should pay specific attention to those bonds that are categorised as assets in territories outside the Republic when ensuring the scheme's compliance to Regulation 30 of the Act read in conjunction with Annexure B to the Regulations.

The categorisation of the bonds in Guideline 54 (*Listed bonds on BESA as at 31 December 2009*) incorporates the listing of inward listed bonds as provided in Guideline 55 (*Foreign inward listings on BESA as at 31 December 2009*).

\*\*Schemes and auditors should pay specific attention to those securities that are categorised as assets in territories outside the Republic to ensure the scheme's compliance to Regulation 30 of the Act read in conjunction with Annexure B to the Regulations.

Guideline 57 (*Market capitalisation and categorisation of listed companies as at 31 December 2009*) incorporates the list of foreign listed securities as provided in Guideline 58 (*Foreign listed securities as at 31 December 2009 as per the JSE*), as well as the latest update of inward listed securities (as at 16 November 2009) issued by the JSE, in the categorisation of the listed companies as per Annexure B to the Regulations.

Your cooperation will be highly appreciated.

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**COUNCIL FOR MEDICAL SCHEMES**