

Annexure C: Categorisation of submissions

Definitions applied in the categorisation of REF submissions

Category 1

There are *no concerns* with the submission. No schemes have been assigned this category.

Category 2

There are some *minor concerns* with the submission.

Category 3

There are *some concerns* with the submission that needs to be addressed. The community rate may not be the correct values until all the concerns are addressed.

Category 4

Substantially more beneficiaries were submitted in the REF Grids than in the Statutory Returns. The data is inadequate to support any payments from REF until the concerns have been addressed. The community rate will change if these concerns are addressed.

Category 5

No data submitted, or there are *many beneficiaries missing* on the REF submission. Payments from REF may be higher once the missing data is supplied.

Category 6

The *CDL and/or Multiple disease data submitted appears low* for this age profile or is missing. This seems to be a data collection and reporting issue rather than a real difference in risk factors. Payments from REF may be higher once the missing data is supplied.

Category 7

The *CDL, Multiple disease, and maternity data submitted appear high* for this age profile. This seems to be a data definition issue rather than a real difference in risk factors. Payments from REF may be lower once the entry and verification criteria are correctly applied.

Category 8

The maternity data submitted appears extremely unlikely - no REF Contributions are possible until the data is verified. Payments from REF are likely to be lower if the maternity data is corrected.

Category 9

The submission contains gross irregularities in all areas (total CDL, maternity in relation with under 1, differences between REF and Statutory Returns submission by age band, duplicate data) which affect payment - no REF Contributions possible until the data has been verified. Payments from REF are likely to be lower or higher if data is corrected.